

10-01-01

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PTO/SB/05 (11-00)

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JC9862 U.S. PTO
10/82/60UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | 5243P001 |
| First Inventor | Kenneth J. Lancos |
| Title | SYSTEM AND METHOD FOR MAKING MONETARY TRANSACTIONS |
| Express Mail Label No. | EL802887452US |

JC986 U.S. PTO
09/28/01
00/965953

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 34]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 11]

5. Oath or Declaration [Total Pages]
a. Newly executed (original or copy)
b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 C.F.R. § 3.73(b) Statement Power of Attorney
(when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: Declaration and Power of Attorney (unexecuted).....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: 60/236,576

Prior application Information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS



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| | | | |
|-------------------|--------------------|-----------------------------------|----------|
| Name (Print/Type) | George L. Fountain | Registration No. (Attorney/Agent) | 36,374 |
| Signature | | Date | 09/28/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)

659.00

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Kenneth J. Lancos |
| Examiner Name | |
| Group/Art Unit | |
| Attorney Docket No. | 5243P001 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP** Charge Any Additional Fee(s) Required
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20 Applicant claims small entity status
See 37 CFR 1.27

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|------------------------------------|--------------|-----------------|---|
| Fee Code | Fee Code | Fee (\$) | |
| 105 | 130 | 205 | 65 Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet. |
| 139 | 130 | 139 | 130 Non-English specification |
| 147 | 2,520 | 147 | 2,520 For filing a request for reexamination |
| 112 | 920* | 112 | 920*Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | 113 | 1,840*Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 Extension for response within first month |
| 116 | 390 | 216 | 195 Extension for response within second month |
| 117 | 890 | 217 | 445 Extension for response within third month |
| 118 | 1,390 | 218 | 695 Extension for response within fourth month |
| 128 | 1,890 | 228 | 945 Extension for response within fifth month |
| 119 | 310 | 219 | 155 Notice of Appeal |
| 120 | 310 | 220 | 155 Filing a brief in support of an appeal |
| 121 | 270 | 221 | 135 Request for oral hearing |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 Petition to revive - unavoidable |
| 141 | 1,240 | 241 | 620 Petition to revive - unintentional |
| 142 | 1,240 | 242 | 620 Utility issue fee (or reissue) |
| 143 | 440 | 243 | 220 Design issue fee |
| 144 | 600 | 244 | 300 Plant issue fee |
| 122 | 130 | 122 | 130 Petitions to the Commissioner |
| 123 | 50 | 123 | 50 Petitions related to provisional applications |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) |
| 146 | 710 | 246 | 355 Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 149 | 710 | 249 | 355 For each additional invention to be examined (37 CFR § 1.129(b)) |
| 179 | 710 | 279 | 355 Request for Continued Examination (RCE) |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application |
| Other fee (specify) | | | |
| Other fee (specify) | | | |
| * Reduced by Basic Filing Fee Paid | | | |
| SUBTOTAL (2) (\$) | | 304.00 | SUBTOTAL (3) (\$) |

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---------------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | George L. Fountain | Registration No. (Attorney/Agent) | 36,374 | Telephone | (714) 557-3800 |
| Signature | <i>George L. Fountain</i> | | | Date | 09/28/01 |

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